

	PHYSICIANS AND SURGEONS PROFESSIONAL LIABILITY APPLICATION (CLAIMS MADE COVERAGE)
--	--

1. Full Name of Applicant: _____
 2. Principal Office Address: _____
County: _____
 3. Home Address: _____

 4. Social Security #: _____ DEA #: _____
 5. List the States and License Numbers where you practice: _____

 6. Date of Birth: _____ Place of Birth: _____
 7. Are you a U.S. Citizen? ____ Yes ____ No If NO, please indicate your status and date of entry into the United States: _____
 8. What is your medical or surgical specialty: _____
What percentage of your practice is dedicated to this specialty? _____
 9. What is your sub-specialty: _____
What percentage of your practice is dedicated to this specialty? _____
 10. Do you limit your practice to the above specialties? ____ Yes ____ No If No, what other specialties do you practice? Provide details. _____

 11. Are you American Board certified? ____ Yes ____ No
Medical Specialty: _____ Date Certified: _____
Medical Specialty: _____ Date Certified: _____
 12. Type of Practice (check all that apply)
 Individual Employee Member of Multi-person Corp or Assoc
 Individual Corporation Partnership Other _____
 13. What is your total annual revenue? \$100,000 or less \$250,001-\$499,999
 \$100,001 - \$250,000 \$500,000 or more
 14. Please provide the names of all facilities that you practice at and your interest in each facility.

<u>Name of Clinic or Facility and Location</u>	<u>Interest (Owner, Partner, Employee?)</u>
- *Attach a separate attachment if necessary.
15. Are you seeking coverage for your work at all of the above facilities? ____ Yes ____ No If No, please list those facilities for which you do not require coverage and explain why coverage isn't needed. _____

16. Please provide the number of professionals you employ or contract with and whether or not they carry their own individual medical malpractice coverage.

	<u>Employed</u>	<u>Contracted</u>	<u>Carry their own Med Mal policy?</u>
Physicians	_____	_____	___ Yes ___ No
Physicians Assistants	_____	_____	___ Yes ___ No
Nurse Practitioners	_____	_____	___ Yes ___ No
Surgical Technicians	_____	_____	___ Yes ___ No
CRNA's	_____	_____	___ Yes ___ No
Chiropractors	_____	_____	___ Yes ___ No
RN's	_____	_____	___ Yes ___ No
LPN's, Nurse Aides	_____	_____	___ Yes ___ No
Other: _____	_____	_____	___ Yes ___ No
Other: _____	_____	_____	___ Yes ___ No

*Please attach copies of dec pages on above professionals that carry their own malpractice policies.

17. Are all of the above individuals licensed in accordance with applicable state and federal regulations?
 ___ Yes ___ No **If NO, please attach explanation.**

18. List the hospitals at which you are currently a staff member: _____

19. Briefly describe the type and extent of your hospital privileges: _____

20. Are you the Chief or Head of a hospital department? ___ Yes ___ No **If YES, which department(s):**

21. Are you the medical director of a nursing home or assisted living facility? **If so, please provide the name of the facility:**

22. Are you the medical director of any other facilities? **If so, please provide the names of each facility:**

23. From what Medical School did you graduate? _____
 City, State and Country of Medical School _____
 Degree: _____ Year of Graduation: _____
If foreign medical school graduate, are you certified by the Educational Council for Medical School Graduates? ___ Yes ___ No. If YES, state the year: _____

24. Internship? ___ Yes ___ No **If Yes, complete the following:**
 Location: _____ Dates: From _____ To _____
 Type: _____ Completed? ___ Yes ___ No

25. Residency? ___ Yes ___ No **If YES, complete the following for each:**
 Location: _____ Dates: From _____ To _____
 Type: _____ Completed? ___ Yes ___ No
 Location: _____ Dates: From _____ To _____
 Type: _____ Completed? ___ Yes ___ No

26. Where have you practiced your profession since completion of training:
 In _____ From _____ To _____
 In _____ From _____ To _____
 In _____ From _____ To _____

27. Additional Medical Training? ____ Yes ____ No If Yes, provide details including type, location, and date of training: _____

28. Have you participated in any continuing medical education program(s) within the past five years? ____ Yes ____ No If YES, please provide details: _____

29. Indicate memberships in professional societies: _____

30. Do you perform one or more of the following:	Yes	No
A. Endoscopic Procedures, other than sigmoidoscopy or proctoscopy. If Yes, describe: _____ _____	_____	_____
B. Catheterization, other than swan-ganz, umbilical cord or urethral catheterization or arterial line in a peripheral vessel. If Yes, describe: _____ _____	_____	_____
C. Arteriography, lymphangiography, myelography or phenmoencephalography?	_____	_____
D. Interventional radiology-percutaneous transluminal angioplasty or embolization?	_____	_____
E. Radiation therapy, including radium implants? If Yes, describe: _____	_____	_____
F. Chemobrasion or dermabrasion?	_____	_____
G. Hair Transplantation or Suturing of Hairpieces?	_____	_____
H. Mohs Micrographic surgery? If YES, describe: _____ _____	_____	_____
I. Acupuncture? If YES, describe: _____	_____	_____
J. Prenatal care and normal deliveries? If YES, Do you perform home deliveries? _____ Do you only perform prenatal care? _____ Do you supervise nurse midwives? If YES, when do you refer: _____ weeks gestation _____	_____ _____ _____	_____ _____ _____
K. Dilation and curettage?	_____	_____
L. Needle Biopsies? If YES, describe: _____	_____	_____
M. Electroshock therapy or hypnosis? If YES, describe: _____ _____	_____	_____
N. Radial keratotomy, excimer laser PRK, LASIK or any other surgical vision correction procedure?	_____	_____

Do you perform any of the following? (continued)	Yes	No
O. Surgery, other than incision of boils and superficial abscesses or suturing skin and superficial fascia? If Yes, please attach a list of all surgical procedures.	_____	_____
P. Non-spontaneous, induced abortions? If YES, What is maximum trimester? _____	_____	_____
Q. Vasectomies or reversal of vasectomies?	_____	_____
R. Hysterectomies? If YES, do you perform laparoscopic hysterectomies?	_____ _____	_____ _____
S. Cholecystectomies? If YES, do you perform laparoscopic cholecystectomies? If YES, how many performed as of this date: _____	_____ _____	_____ _____
T. Tonsillectomies and/or Adenoidectomies?	_____	_____
U. Caesarian sections?	_____	_____
V. Spinal Surgery? If you also perform chemonucleolysis, check here: _____ and/or percutaneous lumbar disectomy, check here: _____	_____	_____
W. Administration of general, spinal or caudal block anesthesia?	_____	_____
X. Open reduction of fractures?	_____	_____
Y. Organ transplantation? If YES, describe: _____ _____	_____ _____	_____ _____
Z. Sex Change Operations?	_____	_____
AA. Weight Reduction Surgery including gastric bypass or other stomach banding procedures? If YES, which procedures? _____ _____	_____ _____	_____ _____
BB. Experimental research, surgical research, or experimental therapy in human patients? If YES, describe: _____	_____	_____
CC. Cosmetic/Plastic Surgery? If YES, complete the following: Do you perform breast augmentation? _____ Do you perform breast reductions? _____ Do you perform liposuction? If YES, what is the maximum amount of cc's removed? _____ Do you perform fat recycling? If YES, in what parts of the body? _____ Do you perform vaginoplasty or labiaplasty? _____ Do you use silicone implants? If Yes, in which parts of the body: _____ Do you perform Botox injections? If Yes, in which parts of the body: _____	_____ _____ _____ _____ _____ _____ _____ _____ _____ _____ _____ _____ _____	_____ _____ _____ _____ _____ _____ _____ _____ _____ _____ _____ _____ _____
DD. Penile lengthening or enhancement procedures?	_____	_____

Do you perform any of the following? (continued)	Yes	No
EE. Do you perform Pain Management Procedures? If so, please indicate the procedures you perform:	_____	_____
<u>CATEGORY A:</u>		
Acupuncture	_____	_____
Botox Injections	_____	_____
Medication Only	_____	_____
Massage/Osteopathic Manipulation – No Anesthesia	_____	_____
Medicinal Marijuana – Prescription Only – No Dispensing	_____	_____
<u>CATEGORY B:</u>		
Facet Joint Blocks	_____	_____
Lesioning	_____	_____
Percutaneous Discectomy	_____	_____
Percutaneous Endoscopic Nerve Root Decompression	_____	_____
Peripheral Nerve Block	_____	_____
Radio Frequency Nerve Ablation	_____	_____
Rapid Opiate Detoxification	_____	_____
Selective Nerve Root Block	_____	_____
Sympathetic Blocks	_____	_____
Trigger Point Injections	_____	_____
Schedule I or Schedule II Prescription Medications	_____	_____
<u>CATEGORY C:</u>		
Dorsal Column Stimulator Implants/Reprogramming	_____	_____
Epidural or Spinal Catheters	_____	_____
Intradiscal Electrothermal Therapy	_____	_____
Peripheral Nerve Stimulation	_____	_____
Spinal Infusion Implants/Pumps; Removal, Refilling/Reprogramming	_____	_____
Spinal Manipulation under General Anesthesia	_____	_____
Vertebroplasty	_____	_____
Discectomy	_____	_____
FF. Any other surgical procedures not shown above? Please describe. _____	_____	_____

*PLEASE ATTACH A LIST OF ALL SURGICAL PROCEDURES YOU PERFORM

31. Do you perform surgery in your office? ____ Yes ____ No If YES, please list. _____

32. Do you perform surgery in other non-hospital facilities? ____ Yes ____ No (If YES, what type of facility and list the surgical procedures: _____

33. In the course of surgery does a Board Certified Anesthesiologist provide the anesthesia? ____ Yes ____ No
 If No, please provide details. _____

34. Do you do any hospital emergency room work? ____ Yes ____ No If YES, Is the emergency room care:
 Only for your own patients? ____ Yes ____ No
 Required for staff privileges? ____ Yes ____ No
 How many hours per month: _____
 Does the hospital cover you for malpractice while you work in the emergency room? ____ Yes ____ No
 Are you requesting coverage for your emergency room work? ____ Yes ____ No

35. Do you assist in surgery:
On your own patients? ____ Yes ____ No
On patients of others? ____ Yes ____ No

36. If your practice includes plastic surgery, specify the percentage of your practice devoted to:
____% Traumatic Surgery ____% Cosmetic/Elective Surgery

37. If your practice includes weight reduction/control (other than by diet and exercise), specify the percentage of patients that are exclusively weight control: _____%.
Do you prescribe any weight control drugs? ____ Yes ____ No If YES, list drugs prescribed. _____

Do you dispense supplements for weight control? ____ Yes ____ No If Yes, list supplements dispensed. _____

Do you use injections for weight control? ____ Yes ____ No If YES, list drugs injected: _____

38. Have you or any of your employees: (If yes, attach details.)	Yes	No
A. Ever been the subject of investigative or disciplinary proceedings or reprimanded by a governmental or administrative agency, hospital, or professional association? Attach a copy of Complaint and Consent Order document if applicable.	_____	_____
B. Ever been convicted for an act committed in violation of any law or ordinance other than traffic offenses?	_____	_____
C. Ever been treated for alcoholism or drug addiction or undergone personal psychiatric treatment or has any administrative agency, hospital or professional association requested or required you be evaluated for an alleged mental condition and/or alcohol or drug addiction?	_____	_____
D. Ever had any state profession license or license to prescribe or dispense narcotics refused, suspended, revoked, renewal refused or accepted only on special terms or ever voluntarily surrendered same?	_____	_____
E. Ever had any professional liability insurance cancelled, declined, refused to renew or accepted only on special terms?	_____	_____
F. Ever failed any medical licensing or specialty organization examination?	_____	_____
G. Do you have any chronic illnesses or defects? If Yes, please describe. _____	_____	_____

39. Do you supervise any individuals other than your own employees? ____ Yes ____ No If YES, please provide detailed explanation of your responsibilities, relationship and whether or not these individuals have their own medical malpractice coverage: _____

40. Are you under contract to any individual, firm or corporation other than your own? ____ Yes ____ No
If YES, attach explanation including details of responsibilities. If this contract contains a hold harmless agreement then attach a copy of the contract language.
41. Are you in the employ of, or under contract to any governmental entity? ____ Yes ____ No If YES, please provide details and outline your duties. _____

42. Do you offer professional advice to the public such as through a website, radio or TV broadcasts, newsletters, etc? ____ Yes ____ No If YES, please provide details. _____

43. Do you advertise your professional services in any manner other than a simple listing in a telephone directory? ____ Yes ____ No If YES, please provide details and attach copies of all advertising brochures.

44. Are you associated with any agency or organization that engages in any kind of advertising for, or solicitation of patients? ____ Yes ____ No If YES, please provide details. _____

45. Average Weekly Patient Load: _____ Total Patients Annually: _____
 Total surgeries performed annually: _____
46. Average number of hours worked per week: _____
47. Do you anticipate any changes in your practice? ____ Yes ____ No If YES, please describe: _____

48. List the prior medical malpractice insurance carried for each of the past 5 years beginning with most current:
- | <u>INSURANCE</u>
<u>COMPANY</u> | <u>LIMITS OF</u>
<u>LIABILITY</u> | <u>POLICY</u>
<u>PERIOD</u> | <u>PREMIUM</u> | <u>RETRO DATE</u> |
|------------------------------------|--------------------------------------|--------------------------------|----------------|-------------------|
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ | _____ |
- *Attach a copy of the declarations page of your most recent policy.
49. Do you own, operate or provide professional services for, or at, any health care facility or business enterprise not already clearly described in this application? ____ Yes ____ No If YES, please describe: _____

50. Has any claim or suit for alleged malpractice been brought against you? ____ Yes ____ No
 If YES, how many total claims or incidents: _____
 Please complete the Supplemental Claim Information Form attached to this application for each and every claim. Also, please attach 10 years of currently valued company loss runs.
51. Has any claim or suit for alleged malpractice been made against you that has NOT been reported to a prior insurer? ____ Yes ____ No If Yes, please complete the Supplemental Claim Information Form attached to this application for each and every claim.
52. Are you aware of any acts, errors, omissions or circumstances which may result in a malpractice claim or suit being made or brought against you? ____ Yes ____ No If Yes, please provide details including name of claimant, date of occurrence, date of first contact, allegation and current status of incident.

The applicant declares that the above statements and representations are true and correct and that no facts have been suppressed or misstated. The completion of this application does not bind the Company to sell nor the applicant to purchase this insurance, but any subsequent contract issued will be in full reliance upon the statements and representations made in this application and this application will be made a part of the policy. The applicant understands that any subsequent contract issued by the Company will be issued on a claims made form.

Signature of Applicant

Date

Please attach the following documents to this application:

- **C.V. or resume**
- **Five years of currently valued company loss runs**
- **Copies of any disciplinary actions, stipulation orders or probation documents**
- **Copies of declarations pages for all employees or contractors that carry their own med mal**
- **Copy of applicant's most current declarations page**

SUPPLEMENTAL CLAIM INFORMATION FORM
(Complete one form for each claim)

1. Name of applicant/named insured: _____

2. Name of other parties or defendants named in suit: _____

3. Date of alleged error or occurrence, or contact date: _____
4. Date claim was made: _____
5. Name of claimant: _____
6. Name of Insurance Company handling your claim: _____
7. Present status of claim or final disposition: _____

Circle One: **CLOSED** **OPEN**
8. Defense costs paid to date inclusive of any deductible: _____
9. If closed, total loss paid, inclusive of any deductible: _____
10. If claim is open or pending, what are the insurers reserves?
Defense: _____ Loss: _____
11. Description of case and events including allegations and assessment of liability: _____

12. Claimants last settlement demand: _____

Date

Signature